IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Motoyoshi SEKIYA, et al.

Filed : Concurrently herewith

For : OPTICAL TRANSMISSION SYSTEM

Serial No. : Concurrently herewith

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

December 9, 2003

INFORMATION DISCLOSURE STATEMENT

SIR:

In order to comply with discretionary regulations 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon. These documents contain information which the Examiner may consider to be important in deciding whether to issue a patent in the instant application.

For documents written in a language other than English, English language abstracts are annexed thereto explaining relevancy in accordance with 37 CFR §1.98 (a)(3).

As this Statement is being filed prior to issuance of a first Office Action, no fee is due.

The present Disclosure Statement is being submitted in compliance with 37 CFR 1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended

that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 (a), and in the course of such search will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is respectfully solicited.

Any fee due with this paper may be charged on Deposit Account 50-1290.

Respectfully submitted,

Thomas J. Bean Reg. No. 44,528

Katten Muchin Zavis Rosenman 575 Madison Avenue New York, NY 10022-2585 (212) 940-8800

Docket No: FUJZ 20.770

Please type :	a plus sig	$n (+) \rightarrow +$ Patent and T	rademark Office	:	U.S. DEPARTA	1ENT	OF COMMI	ERCE_	
1449/PTO U.S. Department of Commerce Patent and Trademark Office					Application No. :				
	Filing Date :								
INFORM	First Named Inventor: M. SEKIYA								
STATEMENT BY APPLICANT					Group Art Unit :				
Sheet 1 of 1					Examiner Name :				
					Attorney Dock	et No	. : FUJZ 20.7	770	
	Cite	1100		ENT DOCU			D	(p	
Examiner C Initials N		U.S. Patent Document	atent Document Kind Code if known ²		Name of Patentee or Applicant of Cited Document		Date of Publication of Cited Document MM-DD-YYYY		es, Columns es Where evant Passages evant Figures pear
				· · · · · ·					
Examiner Initials Cite No. 1		Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)	FOREIGN Country	Name of Patent of Cited Docum	tee or Applicant	or Applicant Date of		Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear	
1		10-276173	JP	Nec Corp.		10-13-1998			
Other Pr		t-Non Patent Litera							
Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, country, where published, source. Applicant check her if English language translation attached									f
Examiner Signature				Date Considered					

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³ Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1 ⁴ if possible. ⁴ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. Patent and Trademark Office. Washington, DC 20231. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Was3ington, DC 20231.